



Client Application Form

INSTRUCTIONS FOR COMPLETION

Before completing the form, please make sure you have read and understood all information regarding your CMMBTrader trading account, including the General Business Terms, the Risk Disclosure Statement, as well as any other business terms and policies governing the client relationship (which are available on CMMBTrader website).

Please complete all information as accurately as possible in block capital letters with a black or blue pen.

If you in addition to the Trading Platform require individualized services by CMMB such as active advisory or discretionary portfolio management you will need to complete a suitability questionnaire. Please contact one of our Sales Representatives for further information about how to complete such a questionnaire.

To comply with money laundering regulations, you are required to send the following documentation (A or B as applicable) along with the form:

For fast processing please return the completed form with the above-mentioned documentation directly to CMMB by either:

Fax

Fax a copy of the form together with the documentation to 1 868 627 5496.

Email

Scan the form together with the documentation and email it to contracts@mycmmmb.com.

Please note that if you send your application via email or fax, you still need to submit the original form within 14 days by standard mail.

Send the application by regular mail together with the documentation to :

CMMB
1 Richmond Street
Furness Court
Independence Square
Port of Spain, Trinidad, West Indies
Att. Contract Administration



Client Application Form

CMMB is required by law to obtain information about its clients' financial position, investment experience and investment objectives in order to provide services in the best interests of its clients.

All clients must complete Part 1, Part 2 and Part 6 of the Client Application Form.
Individual Clients must furthermore complete Part 3, and Corporate Clients must complete Part 4 and Part 5.

PART 1 GENERAL CLIENT DETAILS	
Full name:	
Registered address:	
City/Zip code:	
State/Province:	Country:
Postal address (if different):	
City/Zip code:	
State/Province:	Country:
Phone (private):	Fax (private):
Phone (work):	Fax (work):
Phone (mobile):	E-mail (required):

PART 2 INVESTMENT EXPERIENCE	
A Would you consider yourself <input type="checkbox"/> A professional investor <input type="checkbox"/> An experienced investor <input type="checkbox"/> An inexperienced investor	B Would you consider yourself <input type="checkbox"/> Risk willing <input type="checkbox"/> Risk neutral <input type="checkbox"/> Risk averse
C Products in which you have previously invested <input type="checkbox"/> Futures <input type="checkbox"/> Options <input type="checkbox"/> Foreign exchange <input type="checkbox"/> Stocks <input type="checkbox"/> CFDs/stocks on margin <input type="checkbox"/> Other: _____	
D Trading objectives <input type="checkbox"/> To attempt to achieve speculative gains <input type="checkbox"/> To hedge other investments <input type="checkbox"/> To hedge commercial risk	
E CMMBTrader products of interest to you <input type="checkbox"/> Foreign exchange <input type="checkbox"/> Options <input type="checkbox"/> CFD's / stocks on margin <input type="checkbox"/> CFD exchange direct market access <input type="checkbox"/> Futures <input type="checkbox"/> International stocks	



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PART 3 | INDIVIDUAL DISCLOSURE

Only to be completed by Individual Clients. (Corporate Clients please complete Part 4 and Part 5)

Financial Information

Preferred base currency for your account:

Annual income stated in base currency:

Net worth stated in base currency (excluding primary residence):

Appropriate risk capital stated in base currency:

PART 4 | CORPORATE DISCLOSURE

Only to be completed by corporate clients (Individual Clients please complete Part 3)

Directors and Officers:

Number of board members:

Chairman/president:

Managing director/vice president:

Secretary/treasurer:

Additional director(s):

Financial Information

Preferred base currency for your company account:

Equity capital stated in base currency:

Approximate risk capital stated in base currency:



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PART 5 | SIGNATURE

I/We declare by my/our signature(s):

- To have read, understood and agreed to the General Business Terms (including the Risk Disclosure Statement) and all of its contents;
- To understand and accept that the General Business Terms and any other relevant terms and conditions (as amended from time to time) apply to my/our entire trading relationship with CMMB;
- To have received, read and understood the product information material relating to the relevant products;
- To have received additional in-depth verbal information about the products and the inherent possibilities and risks if so requested; and
- To have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the relevant products.

Furthermore I/we confirm:

- That the information provided by me/us and inserted in this form is correct;
- That the investment amount has been chosen by me/us taking my/our total financial circumstances into consideration and is by me/us considered reasonable under such circumstances;
- That I/we acknowledge that CMMB is only able to provide advice to such effect, provided that I/we have supplied CMMB with correct and adequate information in this Client Application Form or as otherwise requested by CMMB; and
- That CMMB is entitled to send newsletters or other investment material to me/us by e-mail, sms or similar electronic messaging services, and that I/we must inform CMMB in writing or otherwise, if I/we do not wish to receive such material.

Date:

Signature: